

# Overview of the service and validation of the database



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3	October 2003	Introduced model hindcast data 1998-1999
2	April 2002	Included satellite data 2001 in the validation
1	October 2001	Validation of the initial version of the satellite database

More information on versions can be found online at the history page of waveclimate.com.

There are separate documents on model validation and the shallow water wave ray model.

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## 1. Introduction

## 1.1. Objectives of this document

Our internet service <u>www.waveclimate.com</u> provides worldwide wave and wind climate information based on wave model computations and satellite measurements stored in a database at BMT ARGOSS. This report gives an overview of fitness for use and the products of the online service and of the processing and quality of the model and satellite data stored in the database. The waveclimate.com database covers the period 1992 to present.

There's a separate <u>wave model validation document</u>.

## **1.2. Executive summary**

At BMT ARGOSS metocean consultancy products and related web services are primarily based on hindcast data from our in-house database, currently covering the years 1979-2016.

BMT ARGOSS runs a global wave hindcast model in all major ocean basins as well as local models in semi-closed basins such as the Mediterranean (see Figure 1 below).



Figure 1 Global and regional hindcast models.

Wave model data are calibrated with satellite data to remove any systematic error. The satellite data are calibrated with buoy data. The positive effect of this calibration is substantiated by comparing the model data to "true" buoy measurements before and after the calibration with satellite data.

With reference to buoys, it is demonstrated that the quality of satellite data has increased after each step of the processing chain: the relative error in wave height is reduced from 15% to 11%. The resulting 'best' satellite wave height and wind speed observations are practically

un-biased. Proof is given that, with reference to buoys, calibration with these 'best' satellite observations does indeed improve the quality of our wave model.

Basic processing of satellite data is performed under the responsibility of the space agencies that supply the data. Sensors are altimeter (measuring significant wave height and wind speed), scatterometer (measuring the wind vector) and SAR Wave Mode (providing spectral wave information such as periods and directions). Satellites include GeoSat, ERS-1/2, Topex/Poseidon, GFO, Jason-1/2, Envisat, Quikscat and MetOp-A.

Buoy observations come from deep-water NOAA buoys around North America and Hawaii shown below in Figure 2.



Figure 2 Location and average significant wave height of NOAA buoys.

Validation and, if necessary, calibration of wind and wave data in the database is done by BMT ARGOSS after each update of the database, usually once a year.

## **1.3.** The online service in relation to in-house consultancy

The Waveclimate.com online service was developed to provide easily obtainable, "entry level" metocean information for situations where the user does not need detailed expert analysis. Note: as stated on the service website the user should always consider whether a more extensive appraisal is appropriate, in which case BMT ARGOSS' metocean specialists can assist.

As demonstrated in this document, BMT ARGOSS carries out a level of automated quality control, validation and calibration of the data underpinning the online service, that is systematic, robust and commensurate with the above service objective. It is for the user to decide whether or not this automated processing is sufficient for his needs (if in doubt through direct contact with BMT ARGOSS), but to assist in the decision-making process this section describes some key differences in methods and tools used in the online service and in the more detailed consultancy projects.

- The online service provides information about the "normal" climate only and use of the online data for extreme value analysis is not advised, particularly if no additional site specific calibration is carried out. BMT ARGOSS' metocean experts routinely undertake this work in consultancy projects, and can provide advice and assistance.
- Information on tropical storms is not provided in waveclimate.com. Satellite sampling
  of tropical storms is sporadic and very high wind speeds and wave heights in affected
  areas (e.g. Central America and the USA, the western Pacific) are unreliable. These
  events are also not well represented in model hindcast data (other than dedicated
  storm hindcasts). Waveclimate.com issues a warning when an area has been
  selected where tropical storms occur; in such cases the user should contact BMT
  ARGOSS for a more detailed analysis based on storm track data not available via the
  online service.
- Waveclimate.com provides model data for the global grid (0.5°x0.5°), the Mediterranean, the Black Sea, the Red Sea, the Caspian Sea and the Persian Gulf (1/4°x1/4°). High resolution EU shelf data (1/6° x 1/6°) is available for use out with the online service.
- The online service uses a traditional method to distinguish wind-sea and swell components of a sea state. The wind-sea part of the wave spectrum is represented by a Donnellan-Pierson spectrum and thus related to the corresponding wind. For consultancy, wave steepness is used to separate wind-sea and swell: a distinct peak of the wave spectrum with steepness above 0.03 will be classified as wind-sea. Normally, at most two distinct spectral peaks are taken into consideration and classified as either swell or wind-sea; additional swell peaks can be resolved if required, for example off West Africa.
- Altimeter and scatterometer data available through the online service are calibrated separately for each mission, resulting in relative errors of 12% for altimeter wave height and 15% for scatterometer wind speed after calibration with buoys (Table 2 and Table 3 in section 2.3). Recently, we created an improved set of satellite data that we use to calibrate the wave model data available online; we also use this new set of satellite data for consultancy. This improvement involves more advanced processing of altimeter data such as spike removal and the creation of one set of altimeter data consistent over time. Altimeter data from all missions are calibrated

with a 'master' satellite, merged and then calibrated with buoys. The relative error in the resulting 'best' altimeter wave height now reduces to 11%

- As noted above, consultancy projects provide BMT ARGOSS metocean specialists with the opportunity to undertake more detailed analyses than are carried out for the Waveclimate.com service. These projects are planned in consultation with the client and may include, for example, additional calibration using site specific, in-situ measurements, extreme value analysis and the derivation of metocean conditions (particularly waves) in sheltered or shallow water locations.
- The use of the shallow water models available through the online service also requires a certain level of expertise, for instance the choice of the offshore boundary for the wave ray model and pragmatic interpretation of the results.

To optimise the web site performance, waveclimate.com

- Calibrates integrated wave model parameters (significant wave height and wave period- see section 4.2), whereas in a typical project the wave spectra are calibrated and hence all wave parameters, including direction are adjusted.
- Retrieves encoded and compressed wave spectra from binary files; one file contains the complete time series for one grid point. Compression introduces minor loss of accuracy.
- Does not append a high-frequency tail to the wave spectrum. As a consequence, zero-crossing wave period in waveclimate.com is up to 10% higher in wind-sea dominated areas.
- Uses 25 spectral frequencies and 12 spectral directions, whereas 30 frequency and 24 direction bins are routinely analysed in consultancy projects.

## **1.4.** Fitness for use of the online service

The information provided through waveclimate.com is intended for the preliminary appraisal of metocean conditions, and without additional verification is not appropriate for engineering design. If you are in any doubt as to the suitability of the information for your purpose, or you would like to discuss more extensive metocean services, please contact us (info@bmtargoss.com) and an experienced metocean advisor will be pleased to assist you.

Please also take note of the following important guideline for use of waveclimate.com:

- The offshore climate data represent the average climate over the selected area or at the selected location, so they are suitable for fully exposed sites in deep water. The nearshore climate option, preferably the wave ray model, should be used wherever sheltering occurs and on shallow water.
- The shallow water models are meant to be applied in coastal areas of limited size, say of up 200 kilometres wide. Translating offshore wave conditions over greater distances might frustrate (better) wave propagation of the global model.

## **1.5.** Structure of this document

In chapter 2 an overview given of the content of the global database, data processing and, based on these data, products offered by the online service. The processing and accuracy of altimeter and scatterometer satellite data presented by waveclimate.com are explained in chapter 3. SAR data is addressed in chapter 4.

In the appendices A-C, detailed information is given concerning the set of buoys used (A), the parameters used for the error statistics (B) and wind parameters mentioned in this report (C).

## 2. Overview of waveclimate.com

The content of the database, data processing, data accuracy and the products offered by the online service are explained in the next sections.

## 2.1. Content of the database

The global database contains the wind and sea state data listed in Table 1 below.

Variable	Wave hindcast model	Grid	Period covered
Wave spectral density and mean	Global third generation	See FAQs question / answer A0106280	
direction per frequency band,	wave model Wavewatch III		
together with coincident wind	driven by CFSR surface		
speed and direction	wind analyses		
Wave spectral density and mean	Regional third generation		
direction per frequency band,	wave model Wavewatch III		
together with coincident wind	driven by CFSR surface		
speed and direction	wind analyses		
Variable	Satellite Sensor	Satellite	Period covered
Significant wave height	Radar altimeter	See FAQs question	n / answer A01062802
Significant wave height and wind	Radar altimeter		
speed			
Wind speed and direction	Scatterometer		
Wave spectral density and mean	Synthetic aperture radar	1	
direction per frequency band,	(SAR) and scatterometer		
together with coincident wind			
speed and direction			

Table 1 Wind and sea state data contained in the global data base at BMT ARGOSS.

## 2.2. Data processing

Data processing concerns quality control, correction and calibration as explained in chapter 3-4 in this report. In summary:

• Ambiguity in scatterometer wind direction has been removed at the supplying agency by applying constraints on the spatial characteristics of the output wind field, such as on rotation and divergence. At BMT ARGOSS, initial quality control of altimeter (significant wave height and wind speed) and scatterometer (wind speed and direction) data from our suppliers involves various automated procedures such as range checks, checks for error flags, detection of outliers, check for consistency between wind speed and wave height and for consistency in space. Next, significant wave height (altimeter) and wind speed (altimeter and scatterometer) are corrected for bias for each mission separately using in-situ data from buoys obtained from NOAA and Environment Canada. See chapter 3.

- SAR spectra with very low signal-to-noise levels and spectral features related to surface slicks are removed. Some information on short waves, short swells in particular, is missing in wave spectra retrieved from SAR spectra. This information is obtained from ECMWF global wave model spectra. In the wave climate data products, significant wave heights and wave periods derived from SAR data are calibrated on-the-fly using altimeter wave height observations obtained over the same area. See chapter 4.
- Calibration coefficients for the wave model are determined offline for each model point using the improved set of altimeter and scatterometer data (based on but different from the dataset presented by the online service). Calibration coefficients for wind speed are applied offline by the wave model to the driving winds fields. The online service applies the calibration coefficients determined for wave height, thus removing the systematic error from wave height and wave period generated by the wave model. The creation of the set of satellite data used for model calibration and the effect of this calibration explained in the <u>wave model validation document</u>.

## 2.3. Data accuracy

The accuracy of significant wave height and 1-hourly wind speed (at 10m above sea level) obtained from the data sources in the database (listed in Table 1) is summarised below in Table 2 and Table 3. Statistics are based on comparisons against buoy data (buoys are depicted in Figure 2 and listed in Appendix A- Buoys used for validation).

The quality of the data is summarised in terms of the (relative) root-mean-square (RMS) error explained in Appendix B- Parameters used for error statistics.

The two tables below list averages over the specified period. Apart from SAR wave height, the figures reported relate to calibrated wave height and wind speed: altimeter and scatterometer data were calibrated with buoy data before being used for model calibration.

Source	Period	#Samples	Buoy mean (m)	RMS error (m)	Error (%)		
Altimeter	1985-2009	34412	2.16	0.30	12		
SAR	1993-2003	1317	2.31	0.44	17		
Wave model	Please refer to the separate wave model validation document						

#### Table 2 Accuracy of satellite and wave model wave height provided by the online service.

Source	Period	#Samples	Buoy mean (m/s)	RMS error (m/s)	Error (%)		
Altimeter	1992-2009	31651	7.21	1.45	18		
Scatterometer	1992-2009	82901	7.10	1.15	15		
Wave model	Please refer to the separate wave model validation document						

Table 3 Accuracy of satellite and wave model wind speed provided by the online service.

## 2.4. Climate statistics provided by the online service

Statistical information is provided about the overall sea state (significant wave height, zerocrossing wave period, mean wave period, peak period, mean wave direction) but also about wind-sea only, or swell only. Wind-sea consists of the waves having crests moving no faster than 1.2 times the wind speed, so they are growing. Longer, and therefore faster moving, waves are called "swell". Statistics of wind-sea and swell are derived from SAR and wave model data.

The wave climate can be derived offshore, i.e. at a fully exposed location or area in relatively deep water, or nearshore, where the water is shallow and sheltering might occur. Translation of offshore wave conditions to any nearshore location of interest can be done with a very simple model or with a more advanced <u>wave ray model</u>.

The wind and wave climate can be also determined for a particular season or month of interest.

The table below indicates the various products which can be provided and the source of the data from which the products are derived.

Product for waves and wind	Source of data			
	Offshore	Nearshore		
Monthly distribution				
Wave height (total sea state only)	Hindcast/altimeter	Hindcast		
Wind speed	Hindcast/altimeter	Hindcast		
Histogram				
Wave height	Hindcast/altimeter	Hindcast		
Wave period	Hindcast/SAR	Hindcast		
Wind speed	Hindcast/altimeter	Hindcast		
Scatter diagram 2D				
Wave height vs. wave direction	Hindcast/SAR	Hindcast		
Wave height vs. wave period	Hindcast/SAR	Hindcast		
Wave height vs. wind speed	Hindcast/altimeter	Hindcast		
Wind speed vs. wind direction	Hindcast/scatterometer	Hindcast		
Scatter diagram 3D				
Wave height vs. wave period per wave direction sector	Hindcast	Hindcast		
Wave height vs. wind speed per wind direction sector	Hindcast	Hindcast		
Wave height vs. wave period per wind speed class	Hindcast	Hindcast		
Persistency Analysis				
Wind speed, wave height and wave period	Hindcast	Hindcast		
Time series				
Wind speed, wave height, wave direction and wave period	Hindcast	Hindcast		

#### Table 4 Available products and corresponding data sources.

## 3. Altimeter and scatterometer data

The set of altimeter and scatterometer satellite data described in this chapter is available via waveclimate.com. This dataset currently covers the years 1985-2015. Validation results of altimeter are based on co-located altimeter and buoy data from Jan 1985 until Dec 2009. For scatterometer, results are based on data from Mar 1992 until Dec 2009. Comparison is done separately for the different missions. Satellite data from SAR is described in the next chapter.

The parameters compared to buoy observations are significant wave height (from altimeter) and wind speed (from scatterometer and altimeter). First, error statistics of altimeter and scatterometer data before calibration with buoy data are addressed per mission and per year (paragraph 3.1). Next, the calibration method is explained (3.2) and the calibration coefficients found for each mission are given (3.3). Finally, error statistics of the satellite data after calibration with buoy data are presented (3.4). This set of satellite data calibrated per mission with buoys is used by the online service.

## 3.1. Error statistics of raw satellite data per mission and per year

## Error statistics per mission

Below, the quality of the raw significant wave height obtained by the altimeters of the various satellites is summarised. The Topex/Poseidon and the Geosat satellites deliver the most accurate wave height estimates. Ers-1 altimeter data is somewhat less accurate. Table 5 shows the quality of the *raw* wave height obtained by the altimeters of the various satellites.

Satellite	No. of samples	Buoy Mean [m]	STD Error [m]	Correlation Coefficient	Relative RMSE	Bias
				[-]	[%]	[m]
Topex	7696	2.30	0.26	0.98	10	-0.02
Poseidon	524	2.27	0.32	0.97	12	0.02
Geosat	1598	2.31	0.29	0.97	11	-0.03
Ers-1	2706	2.35	0.37	0.96	22	-0.46
Ers-2	7433	2.07	0.36	0.96	16	-0.16
Jason-1	5421	2.05	0.31	0.96	14	-0.08
GFO	3849	2.17	0.26	0.98	14	-0.23
Envisat	4241	2.06	0.27	0.98	12	0.07
Jason-2	944	1.95	0.31	0.96	14	-0.03

#### Table 5 Error statistics of raw wave height from altimeter based on all buoys.

Raw wind speed is most reliably estimated by Quikscat and the Ers scatterometers. Wind speed from Geosat altimeter is much less accurate than wind speed from other sources. Therefore, Geosat wind speed is not used by the online service Table 6 and Table 7 show the quality of the *raw* wind speed obtained by the various missions.

Satellite	No. of samples	Buoy Mean [m/s]	STD Error [m/s]	Correlation Coefficient [-]	Relative RMSE [%]	Bias [m/s]
Topex	7696	7.50	1.55	0.91	19	0.06
Poseidon	524	7.86	1.70	0.90	20	0.10
Geosat	1598	7.74	2.47	0.77	30	-0.69
Ers-1	2706	7.82	1.59	0.91	19	-0.51
Ers-2	7433	7.05	1.57	0.91	21	-0.37
Jason-1	5421	6.99	1.47	0.91	19	-0.21
GFO	3849	7.01	1.43	0.93	19	0.37
Envisat	4241	6.98	1.37	0.92	18	0.12
Jason-2	944	6.84	1.55	0.90	22	0.64

Table 6 Error statistics of raw wind speed from altimeter based on all buoys.

Satellite	No. of samples	Buoy Mean [m/s]	STD Error [m/s]	Correlation Coefficient [-]	Relative RMSE [%]	Bias [m/s]
Ers-1	9402	7.85	1.20	0.94	15	-0.54
Ers-2	8778	7.46	1.15	0.94	16	-0.56
Quikscat	64721	6.94	1.14	0.94	15	0.25

Table 7 Error statistics of raw wind speed from scatterometer based on all buoys.

#### Error statistics per year

We also analysed the statistics per satellite-sensor combination per year for all buoys in order to search for irregularities over time. We provide some examples to illustrate the procedure. Bias (satellite observation minus buoy observation) and standard deviation of the error in raw Topex wave height is depicted below.



Figure 3 Bias and STD of error of raw wave height per year of Topex altimeter and all buoys.

From the figure above, we see that the bias in Topex raw wave height increases significantly in 1998. This appears to be caused by a performance degradation of the chirp generator. A switchover to the backup altimeter was successfully realised on Feb 9, 1999. Therefore, we derived separate calibration coefficients for 1998 for wave height and wind speed from Topex. Table 8 and Table 9 show the error statistics of Topex data for 1998 and for the years 1992-2005, excluding 1998.

Period	No. of samples	Buoy Mean [m]	STD Error [m]	Correlation Coefficient [-]	Relative RMSE [%]	Bias [m]
1998	498	2.41	0.32	0.97	14	0.21
1992 – 2005 (excl. 1998)	7198	2.29	0.25	0.98	10	-0.04

Table 8 Error statistics of raw wa	ave height from Topex	altimeter based on all buoys.
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Period	No. of samples	Buoy Mean [m/s]	STD Error [m/s]	Correlation Coefficient [-]	Relative RMSE [%]	Bias [m/s]
1998	498	7.96	1.45	0.91	17	0.12
1992 - 2005 (excl. 1998)	7198	7.46	1.59	0.91	19	0.05

Table 9 Error statistics of raw wind speed from Topex altimeter based on all buoys.

The quality of wind speed measured by Geosat follow-on (GFO) deteriorates as of 2007 as shown below in Figure 4. For this reason, the online service only uses GFO wind speed from the years 2002-2006.



Figure 4 Bias and STD of error of raw wind speed per year of GFO altimeter and all buoys.

The next plot does show however that wave height observations from GFO are reliable for all years.



Figure 5 Bias and STD of error of raw wave height per year of GFO altimeter and all buoys.

## 3.2. Calibration of satellite data with buoys

Least square fits (see Figure 6 below) of linear relationships between *raw* satellite data and buoy data of wave height and wind speed were determined per satellite-sensor pair. Satellite data are on the vertical axis, buoy data on the horizontal axis. For reference, we also plotted the 'y=x' line and the sorted satellite observations against the sorted buoy observations.

The fitting procedure applied is Total Least Squares, minimising the sum of squares of the residuals measured orthogonal to the fitted line. Minimising distances in y-direction only, e.g. assuming noise in satellite data only, proved to be sensitive to switching x and y, indicating that this procedure would result in seriously biased estimates.



The figure below (Figure 6) shows the fit of raw Topex wave height against buoy measurements.

Figure 6 Least squares fit of raw wave height for Topex altimeter and all buoys.

Calibration coefficients derived from these fits, i.e. slope ( $\alpha$ ) and intercept ( $\beta$ ), were found per satellite-sensor combination in order to calibrate the raw satellite data:

$$H_i^{corrected} = \alpha \cdot H_i^{raw} + \beta$$

The use of non-linear curve fitting did not improve the fits significantly. Note that (the smoothed version of) the sorted satellite versus buoy observations curve is such a non-linear curve.

## 3.3. Calibration coefficients found for satellite data

Calibration coefficients for Topex are taken year-dependent. For the other satellites, calibrations are valid for all relevant years. The following calibration coefficients were found:

Satellite	Period	α [-]	β [m]
Topex	1998	1.07	-0.40
Topex	1992-2005	1.06	-0.09
	excl. 1998		
Poseidon	1992-2002	1.02	-0.05
Geosat	1985-1989	0.98	0.09
Ers-1	1991-1996	1.14	0.20
Ers-2	1995-2009	1.06	0.04
Jason-1	2002-2009	1.05	-0.03
GFO	2002-2008	1.08	0.07
Envisat	2003-2009	1.05	-0.19
Jason-2	2008-2009	1.02	-0.00

Table 10 Calibration coefficients found for wave height from altimeter based on all buoys.

Satellite	Period	α[-]	β [m]
Торех	1998	1.00	-0.15
Topex	1992-2005	0.93	0.51
	excl. 1998		
Poseidon	1992-2002	0.95	0.34
Geosat	1985-1989	0.94	1.11
Ers-1	1991-1996	1.03	0.27
Ers-2	1995-2009	0.90	1.03
Jason-1	2002-2009	0.93	0.66
GFO	2002-2006	0.87	0.61
Envisat	2003-2009	0.95	0.22
Jason-2	2008-2009	0.88	0.23

Table 11 Calibration coefficients found for wind speed from altimeter based on all buoys.

Satellite	Period	α[-]	β [m/s]
Ers-1	1992-1996	1.07	0.02
Ers-2	1996-2000	1.06	0.10
Quikscat	2000-2009	1.00	-0.24

 Table 12 Calibration coefficients found for wind speed from scatterometer based on all buoys.

We apply the above calibration to the raw satellite data except for Geosat winds. Winds from Geosat are too inaccurate, so they are not used by the online service. From Table 10-Table 12, we see that calibration increases wave height by up to 14% (for Ers-1 altimeter). They also confirm that, at least in open sea ares, scatterometer winds are more reliable than altimeter winds.

## 3.4. Error statistics of satellite data after calibration with buoys

As a result of applying the above calibration coefficients (Table 10-Table 12) to the raw satellite data, we get the following error statistics of the calibrated satellite data:

Satellite	No. of samples	Buoy Mean [m]	STD Error [m]	Correlation Coefficient	Relative RMSE	Bias
				[-]	[%]	[m]
Topex	7696	2.30	0.25	0.98	10	0.00
Poseidon	524	2.27	0.32	0.97	12	0.02
Geosat	1598	2.31	0.29	0.97	11	0.02
Ers-1	2706	2.35	0.36	0.96	14	0.01
Ers-2	7433	2.07	0.36	0.96	15	-0.01
Jason-1	5421	2.05	0.31	0.96	13	-0.01
GFO	3849	2.17	0.26	0.98	10	-0.01
Envisat	4241	2.06	0.27	0.98	11	-0.01
Jason-2	944	1.95	0.30	0.97	13	-0.00

 Table 13 Error statistics of calibrated wave height from altimeter based on all buoys.

Satellite	No. of samples	Buoy Mean [m/s]	STD Error [m/s]	Correlation Coefficient [-]	Relative RMSE [%]	Bias [m/s]
Topex	7696	7.50	1.51	0.91	18	0.02
Poseidon	524	7.86	1.64	0.90	19	0.04
Geosat	1598	7.74	2.39	0.77	28	-0.00
Ers-1	2706	7.82	1.61	0.91	19	-0.01
Ers-2	7433	7.05	1.46	0.91	19	-0.01
Jason-1	5421	6.99	1.40	0.91	18	-0.03
GFO	3849	7.01	1.26	0.93	16	0.02
Envisat	4241	6.98	1.33	0.92	17	-0.01
Jason-2	944	6.84	1.41	0.90	19	-0.03

 Table 14 Error statistics of calibrated wind speed from altimeter based on all buoys.

Satellite	No. of samples	Buoy Mean [m/s]	STD Error [m/s]	Correlation Coefficient [-]	Relative RMSE [%]	Bias [m/s]
Ers-1	9402	7.85	1.22	0.94	14	-0.01
Ers-2	8778	7.46	1.16	0.94	14	0.03
Quikscat	57408	6.94	1.12	0.94	15	0.03

 Table 15 Error statistics of calibrated wind speed from scatterometer based on all buoys.

Relative RMSE of the calibrated satellite data (listed in Table 13-Table 15) is indeed smaller than relative RMSE of the raw satellite data (listed in Table 5-Table 7). Error in wave height from Ers-1 altimeter (22% to 14%) and GFO altimeter (14% to 10%) reduces significantly. Comparable error reductions are found for altimeter wind speed from GFO (19% to 16%) and Jason-2 (22% to 19%).

As a confirmation of the correction procedure, example plots are given on the next pages to illustrate the effect of calibration. The next two figures illustrate the removal of bias from GFO wave height through calibration.



Figure 7 Bias and STD of error of raw wave height per year of GFO altimeter and all buoys.



Figure 8 Bias and STD of error of corrected wave height per year of GFO altimeter and all buoys.



The plots below show fits of Ers-1 wave height and buoy data before and after the calibration.

Figure 9 Least squares fit of raw wave height for Ers-1 altimeter and all buoys.



Figure 10 Least squares fit of corrected wave height for Ers-1 altimeter and all buoys.



The effect of the calibration of Jason-2 wind speed is illustrated below.

Figure 11 Least squares fit of raw wind speed for Jason-2 altimeter and all buoys.



Figure 12 Least squares fit of corrected wind speed for Jason-2 altimeter and all buoys.

## 4. SAR data

## 4.1. Error statistics of raw SAR data per region

The set of SAR satellite data described in this chapter is available via waveclimate.com. Validation results are based on SAR data and buoy data from Apr 1993 until Jun 2003. Satellite data from altimeters and scatterometers is described in the previous chapter.

For the validation, the buoys are grouped into 5 geographical regions: Atlantic, Gulf of Mexico, Newfoundland, Pacific and Hawaii. The wave climate within a region is more or less uniform. The buoys and regions are depicted in Figure 2 and listed in Appendix A- Buoys used for validation.

The results of the most important statistic parameters are given for the significant wave height, mean period and zero-crossing period. The spectral SAR data are especially useful for wave climates that exhibit large waves (wavelength > 200m and period > 12 sec). Therefore we also considered the wave height for long waves (wave period exceeds 12 seconds).

The statistics for all buoys and years are collected in the tables below for the merged SAR/ECMWF spectra.

	Atlantic	Gulf of Mexico	Newfoundland	Pacific	Hawaii
N	348	193	69	454	313
Mean [m]	1.97	1.29	2.85	2.95	2.25
Bias [m]	-0.08	-0.06	0.07	0.04	-0.08
StDev [m]	0.50	0.33	0.59	0.46	0.33
Rrmse [%]	22	22	19	14	14
Corr	0.89	0.92	0.91	0.95	0.88

Table 16 Statistics of raw wave height based on SAR/ECMWF spectra per region.

	Atlantic	Gulf of Mexico	Newfoundland	Pacific	Hawaii
N	348	193	69	454	313
Mean [s]	7.20	5.92	8.61	9.32	8.80
Bias [s]	0.14	0.34	0.26	0.29	0.21
StDev [s]	0.99	1.60	0.90	0.73	0.63
Rrmse [%]	14	29	11	9	8
Corr	0.68	0.42	0.79	0.93	0.93

Table 17 Statistics of raw mean period based on SAR/ECMWF spectra per region.

	Atlantic	Gulf of Mexico	Newfoundland	Pacific	Hawaii
N	348	193	69	454	313
Mean [s]	5.88	4.95	6.98	7.30	6.80
Bias [s]	0.00	0.01	0.25	0.24	0.14
StDev [s]	0.75	1.27	0.83	0.61	0.53
Rrmse [%]	13	25	13	9	8
Corr	0.70	0.40	0.74	0.92	0.92

Table 18 Statistics of raw zero-crossing period based on SAR/ECMWF spectra period per region.

	Atlantic	Gulf of Mexico	Newfoundland	Pacific	Hawaii
Ν	348	193	69	454	313
Mean [m]	0.40	0.17	1.05	1.47	0.94
Bias [m]	0.08	0.13	0.14	0.12	0.03
StDev [m]	0.36	0.22	0.50	0.44	0.30
Rrmse [%]	71	135	41	25	27
Corr	0.64	0.64	0.85	0.94	0.90

Table 19 Statistics of raw height of long waves based on SAR/ECMWF spectra per region.

The best results are obtained in the Pacific and Hawaii and the worst results in the Gulf of Mexico. This can be explained by the fact that SAR data is especially useful for a wave climate that includes long waves, which are lacking in the Gulf of Mexico. This is also reflected by the results in Table 19, i.e. the mean wave height for long waves in the Gulf of Mexico is a fraction of the mean wave heights for the other regions.

## 4.2. Calibration of SAR data

Wave heights and wave periods derived from SAR are *calibrated on-the-fly by the online service* with altimeter wave heights, in order to ensure consistency of the wave height distributions from both data sources. The correction is performed on-the-fly as it depends on the offshore area under investigation. Corrections of a few percent are typical. Maximum correction for SAR wave height is about 15%. Note that this calibration has not been taken into account in the validation results for SAR wave height presented in the previous section.

It is assumed that wave heights from altimeter and SAR are statistically correlated (altimeter and SAR wave height samples used come from the same area but are not collocated in time).

Correction of total SAR wave height (based on the total spectrum) is done as follows:

$$H_i^{corrected} = \sqrt{\alpha \cdot H_i^2 + \beta \cdot s_i}$$

where *i* counts the SAR samples,  $H_i^{corrected}$  and  $H_i$  denote the corrected and total SAR wave height respectively,  $\alpha$  is slope and  $\beta$  is intercept. The factor  $s_i$  ensures a smooth transition near zero. It is found as

$$s_i = 1 - \exp(-H_i^2 \cdot \left| \frac{\alpha}{\beta} \right|)$$

To correct height of wind-sea or swell, the ratio of corrected and uncorrected total wave height is used:

$$r_i = \frac{H_i^{corrected}}{H_i}$$

 $Hswell_i^{corrected} = r_i \cdot Hswell_i$ 

$$Hsea_i^{corrected} = r_i \cdot Hsea_i$$

where *Hswell* and *Hsea* satisfy

$$Hswell_i^2 + Hsea_i^2 = H_i^2$$
.

Calibration of all wave periods (zero-crossing and mean wave period, either corresponding to the total spectrum, the wind-sea part or the swell part), makes use of the same ratio:

$$T_i^{corrected} = \sqrt{r_i} \cdot T_i$$

Slope ( $\alpha$ ) and intercept ( $\beta$ ) relate to the coefficients of the linear regression fit of SAR total wave energy quantiles to altimeter wave energy quantiles:

$$H_{SAR}^2 = a \cdot H_{ALT}^2 + b + \varepsilon$$

with  $\varepsilon$  a residual. Regression of SAR energy on altimeter energy assumes that altimeter wave height is more accurate than SAR wave height, which is what we find in the validation against buoys. In the regression, we minimize the sum of squares of  $\varepsilon/\max(0.25, H_{ALT})$ , so we assume that the standard deviation of the residual is proportional to the altimeter wave height.

In the correction of total SAR wave height above,

 $\alpha = 1/a$  and  $\beta = -b/a$ .

# Appendices

# Appendix A- Buoys used for validation

The set of buoys depicted in Figure 2 has been divided into 5 regions with a more or less uniform wave climate:

- The Gulf of Mexico (GOM, buoy numbers start with 42)
- The northern Atlantic east of Northern America (ATL, buoy numbers start with 41 or 440)
- Offshore Newfoundland (NFL, buoy numbers start with 441)
- The northern Pacific (PAC, buoy numbers start with 46)
- The region around Hawaii (HAW, buoy numbers start with 51)

The buoys report hourly wind speed, significant wave height, zero-crossing wave period and mean wave period. Some buoys provide spectral information.

The table below lists each buoy's position, region, observation period and the number of observations available.

Buoy	Nobs	Lat	Lon	Region	Begin	End
41001	149032	34.68	-72.64	ATL	01jan85	08jun08
41002	150946	32.27	-75.19	ATL	27feb85	30nov08
41006	99259	29.30	-77.40	ATL	26may82	21apr96
41010	250045	28.90	-78.53	ATL	10nov88	31dec09
42001	196010	25.93	-89.65	GOM	01jan85	31dec09
42002	193012	25.89	-93.57	GOM	01jan85	31dec09
42003	181573	25.94	-85.91	GOM	01jan85	31dec09
42039	64900	28.80	-86.06	GOM	01jan02	31dec09
42040	62004	29.21	-88.20	GOM	01jan02	05oct09
42041	23485	27.50	-90.46	GOM	08may02	16mar05
44004	158983	38.46	-70.69	ATL	01jan85	08mar08
44005	162817	42.90	-68.94	ATL	01jan85	31dec09
44008	63575	40.50	-69.43	ATL	01jan01	06dec09
44011	59007	41.08	-66.58	ATL	01jan01	22sep09
44137	36354	41.30	-61.40	NFL	30nov88	15oct97
44139	33325	44.30	-57.40	NFL	02dec88	21nov97
44140	25371	42.70	-50.60	NFL	05sep90	19nov96
44141	42892	42.10	-56.10	NFL	05sep90	08dec97
46001	179334	56.29	-148.18	PAC	01jan85	31dec09
46002	156312	42.53	-130.26	PAC	25jan85	28jul09
46003	100426	51.85	-155.92	PAC	01jan85	11aug99
46004	52622	50.90	-135.90	PAC	04aug88	31dec97
46005	158951	46.08	-131.00	PAC	01jan85	18dec08
46006	139094	40.84	-137.49	PAC	01jan85	21nov08

46035	167028	56.91	-177.81	PAC	13sep85	31dec09
46036	69879	48.30	-133.90	PAC	22sep87	31dec97
46047	66517	32.43	-119.53	PAC	01jan02	31dec09
46059	111158	37.98	-130.00	PAC	19oct94	09jan09
46066	46695	52.70	-155.00	PAC	01jan02	31dec09
46184	61634	54.00	-138.80	PAC	20sep87	31dec97
51001	180005	23.40	-162.30	HAW	01jan85	24dec09
51002	180215	17.20	-157.80	HAW	01jan85	31dec09
51003	179918	19.10	-160.80	HAW	01jan85	31dec09
51004	173696	17.40	-152.50	HAW	13feb85	07oct09
51026	30383	21.40	-157.00	HAW	16jan93	23nov96
51028	62081	0.00	-153.90	HAW	29oct97	14apr08

Table 20 List of NOAA buoys used for validation of satellite data.

# Appendix B- Parameters used for error statistics

The error is defined as the difference between samples of two data sources, e.g. between satellite observations and buoy measurements, so for wave height:

$$e_i = H_i^{satellite} - H_i^{buoy}$$

with  $H_i^{\text{satellite}}$  the wave height of sample no. *i* retrieved from satellite data, and  $H_i^{\text{buoy}}$  the coincident buoy measurement of the wave height. Coincident means that the retrieved satellite observation is located within 50 km around the buoy and that the observations do not differ more than 30 minutes in time. Bias, standard deviation of the error and correlation coefficient are defined as

bias = 
$$\mu_e = n^{-1} \sum_{i=1,..,n} e_i$$
  
stde =  $\sqrt{(n-1)^{-1} \sum_{i=1,..,n} (e_i - \mu_e)^2}$ 

correlation = 
$$\frac{\sigma_{SB}}{\sigma_S \sigma_B}$$

$$\sigma_B = \sigma_{buoy} = \sqrt{(n-1)^{-1} \sum_{i=1,\dots,n} (H_i^{buoy} - H_{mean}^{buoy})^2}$$

where  $\sigma_s, \sigma_B, \sigma_{sB}$  denote the standard deviation of the satellite, the standard deviation of the buoy and the co-variance of the satellite and the buoy respectively.

Apart from bias, standard deviation of the error and correlation coefficient, the quality of the data can be expressed in terms of the relative root-mean-square error (RRMSE). The root-mean-square error of say, significant wave height, is

$$RMSE = \sqrt{n^{-1} \sum_{i=1,\dots,n} \left| H_i^{satellite} - H_i^{buoy} \right|^2}$$

It is similar to the standard deviation, but also includes the bias error.

The relative error is the root-mean-square error normalised by the root-mean-square value of the buoy wave height:

$$RRMSE = \sqrt{\frac{\left|\sum_{i=1,..,n} \left|H_i^{satellite} - H_i^{buoy}\right|^2}{\sum_{i=1,..,n} \left|H_i^{buoy}\right|^2}}$$

The overall quality of satellite/model data can be conveniently expressed by this one measure: the relative root-mean-square error (%) which incorporates both bias (offset) and standard deviation (variability) of the differences between two data sources.

# Appendix C- Frame of reference

## (a) Definitions and notation

## • Wave height H

Crest-to-trough wave height of an individual wave (between two consecutive up-crossings of the still water level).

## • Significant wave height Hs

Averaged wave height H of the 1/3 highest waves. Except on very shallow water, *Hs* is accurately approximated by *Hm0*, defined as 4 times the standard deviation of the vertical surface displacement (4 times the square root of spectral moment  $m_{0}$ , see below).

## • Zero-crossing period T

Time elapsed between two consecutive up-crossings of the still water level.

## • Mean zero-crossing period Tz

The average of the **zero-upcrossing period** *T* for a particular sea state. Tz is approximated by  $Tz \approx Tm_{0.2}$  (see Moment-based wave period below).

#### • Spectral moment m<sub>p</sub>

For any integer p,  $m_p$  is the integral over frequency f of  $f^p$  multiplied by the wave spectrum, with f frequency in cycles per unit time. Remark:  $m_0$  is the total variance of sea surface elevation.

## • Spectral density of sea surface waves S (wave spectrum)

The spectral density describes how the variance of the sea surface elevation is distributed over frequency f. It is often referred to as wave spectrum.

## Wave period based on spectral moments Tm<sub>p,q</sub>

 $Tm_{p,q}=(m_p/m_q)^{1/(q-p)}$  with  $m_p$  and  $m_q$  spectral moments, and p and q two distinct integers.

## • Wave peak frequency Fp

This is the frequency where the wave spectrum reaches its maximum.

## • Wave peak period Tp

This is the wave period corresponding to the wave peak frequency.

## • Wave peak direction Pd

This is the wave direction corresponding to the wave peak frequency.

## • Wave length $\lambda$

The horizontal distance between two consecutive up-crossings of the still water level in the direction of wave propagation.

#### • Wave steepness parameter s

A dimensionless parameter, defined as the ratio of significant wave height *Hs* to the deep-water wave length corresponding to the wave period  $Tm_{-1,0}$ , i.e.,  $s = (2\pi/g) Hs/(Tm_{-1,0})^2$ 

#### • Principal or mean wave direction Hsd

The direction derived from the first-order directional Fourier moments (sine and cosine-weighted moments) of the directional wave spectrum. Wave direction is defined as "coming from". It can also be defined for (a) limited range(s) of frequencies and represented as a function of frequency.

## • Wind-sea and swell (online service)

Wind-sea consists of the waves having crests moving no faster than 1.2 times the wind speed, so they are growing. Longer, and therefore faster moving, waves are called swell.

#### • Wind-sea and swell (offline consultancy)

Wind-sea is found as a component (distinct peak) of the wave spectrum with wave steepness s > 0.03. Note that this "engineering" definition does not consider the wind; only wave steepness. Wind-sea parameters are found by applying the definitions of these parameters only to the wind-sea component of the spectrum. Swell is defined as the component (distinct peak, or peaks) of the wave spectrum which is not steep enough to qualify as wind-sea

#### • Wind speed *u10* and wind direction *u10d*

Sustained wind speed at 10m above the (sea) surface and associated direction. Wind direction is defined as "coming from". "Sustained" means averaged over 1 hour.

• Gravitational acceleration g On Earth, taken equal to 9.81 m/s<sup>2</sup>

## (b) Units and conventions

- Wind and wave directions are defined as "coming from" relative to true north positive clockwise.
- Units are expressed using the SI convention if not stated otherwise:
  - length or distance (wave height, surface elevation, water depth) in metres,
  - time (wave periods) in seconds,
  - speed in metres per second,
  - direction in degrees clockwise from North.